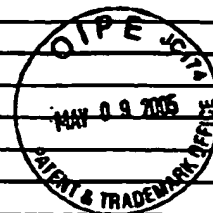


Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Complete If Known	
		Application Number	10/003,093
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann
		Group Art Unit	2655
		Examiner Name	Minerva Rivero
		Attorney Docket Number	2001-0600C
Sheet	# 3	of	34



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.*	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office*	Number (if known)	Kind Code*				
Examiner Signature					Date Considered			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T*
HR	5	DAVID KURLANDER, TIM SKELLY, DAVID SALESIN, "Comic Chat", Proceedings of the 23rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996 W D L		<input type="checkbox"/>
HR	6	POLLACK, "Happy in the East or Smiling in the West", New York Times. 12, August 1996.		<input type="checkbox"/>
HR	7	PELACHAUD, ET AL. "Generating Facial Expressions for Speech", Cognitive Science, Jan. 3, 1996, Vol. 20, no. 1, pp. 1-46.		<input type="checkbox"/>

Examiner Signature	Min Riv	Date Considered	5/19/2005
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\*\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Unique citation designation number. \*See attached Kinds of U.S. Patent Documents. \*Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

# PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for form 1463A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Complete If Known	
		Application Number	10/003,093
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann
		Group Art Unit	2655
		Examiner Name	Minerva Rivero
		Attorney Docket Number	2001-0600C

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		Number	Kind Code* (if known)			
MR		US-6,532,011		Francini, et al.	3-2003	
MR		US-6,147,692		Shaw, et al	11-2000	
MR		US-4,276,570		Burson, et al.	06-1981	
MR		US-5,638,502		Murata, Yoshiuki,	06-1997	
MR		US-5,818,461		Rouet et al.	10-1998	
MR		US-6,476,815		Ando, Tsutomu	11-2002	
MR		US-6,381,346		Erasian, Arsev H.	04-2002	
MR		US-5,995,639		Kado, et al.	11-1999	
MR		US-5,689,618		Gasper, et al.	11-1997	

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Examiner Initials*	Cite No.†	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office*	Number (if known)	Kind Code*				
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MR	2	LIJUN YIN, A. BASU; "MPEG4 face modeling using fiducial points", IEEE; Image Processing, 1997. Proceedings., International Conference on, Volume: 1, 26-29. 1997	<input type="checkbox"/>

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## PATENT APPLICATION

PTO/SB/02A MODIFIED

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete If Known Application Number 10/063,093 Filing Date November 2, 2004 First Named Inventor Joerg Ostermann et al. Group Art Unit 2664 55 Examiner Name Unknown Attorney Docket Number 2000-0600C	
Sheet	2	of	2

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	Yes
HR	1	David Kuriander, Tim Skelly, David Satesin, "Comic Chat", Proceedings of the 23 <sup>rd</sup> Annual Conference on Computer Graphics and Interactive Techniques, August 1996 London	<input type="checkbox"/>
HR	2	Pollack, "Happy in the East or Smiling in the West". New York Times. 12 August 1996. /	<input type="checkbox"/>
HR	3	Pelachaud et al., "Generating Facial Expressions for Speech". Cognitive Science. Jan. 3, 1996. Vol. 20, no. 1, pp. 1-46. /	<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature	<i>Min Olin</i>	Date Considered	5/19/2005
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Substitute for form 1449A/PTO				Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as (necessary))				Application Number	
				Filing Date	
				First Name of Inventor	Joern Ostermann et al
				Group Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2000-0600C

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>†</sup>
HR	AH	"Photo-realistic Talking-heads From Image Samples," by E. Cosatto and H. P. Graf, IEEE Transactions on Multimedia, Sept. 2000, Vol. 2, issue 3, pages 152-163.			Completed <input checked="" type="checkbox"/>
HR	AI	"Audio-Visual Speech Modeling for Continuous Speech Recognition," IEEE Trans. on MultiMedia, Vol. 2, No. 3, Sept. 2000.			Completed <input type="checkbox"/>
HR	AJ	"TTS Based Very Low Bit Rate Speech Coder, by K-S. Lee and R. V. Cox, Proc. ICASSP 1999, Vol. I, March 1999, pp. 181-184.			<input type="checkbox"/>
HR	AK	"Emu: An E-mail Preprocessor for Text-to-Speech," by Richard Sproat, Jianying Hu, and Hao Chen, IEEE Signal Processing Society 1998 Workshop on Multimedia Signal Processing, Dec. 7-9, 1998, Los Angeles, CA., USA.			Completed <input type="checkbox"/>
HR	AL	"Trends of ASR and TTS Applications in Japan," Proc. of International Workshop on Interactive Voice Technology for Telecommunications Applications (IVITA96), Sept. 1996.			Completed <input type="checkbox"/>

Examiner Signature	<i>Min Olin</i>	Date Considered	9/22/2004
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 1

Complete if Known

Application Number 10/003,093  
 Filing Date November 2, 2001  
 First Named Inventor Joern Ostermann  
 Group Art Unit 2655  
 Examiner Name Minerva Rivero  
 Attorney Docket Number 2001-0600C

**U.S. PATENT DOCUMENTS**

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		Number	Kind Code* (if known)			
MR		US-6,219,638		PADMANABHAN, et al.	04-2001	

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		Office*	Number (if known)	Kind Code*				
Examiner Signature		Min Riv			Date Considered	10/24/05		

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	1		<input type="checkbox"/>

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